

PATENT

ATTORNEY DOCKET NO.: AVX-202-CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Applica | tion of: ANDREW P. RITTER et al. |) | Examiner: E. Thomas |
|---------------|----------------------------------|-----|-------------------------|
| Serial No.: | 10/632,514 |) | Group Art Unit: 2831 |
| Filed: | August 1, 2003 |) | Our Customer ID: 22827 |
| Confirmation | No.: 4340 | ·) | Our Account No. 04-1403 |
| For: PLAT | ED TERMINATIONS |) | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Sir:

The attached Information Disclosure Statement is submitted for the Examiner's consideration in accordance with the Applicants' duty of disclosure. Although the documents provided in this Information Disclosure Statement are submitted for the Examiner's convenience, Applicants do not admit of their relevance as prior art to the present application under 35 U.S.C. §102 and §103 nor of their pertinence in other respects.

12/15/2004 NNGUYEN1 00000094 10632514

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The Examiner is encouraged to contact the undersigned at his/her convenience should he/she have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, ATTORNEYS AT LAW, P.A.

Date: December 10, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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| 13 | RADEMAR Opplication | • | | | |
| | • • | | Andrew P. RITTER et al. | _ Attorney Docket No.: | |
| | No.: | | | | Décember 10, 2004 |
| | | | | • | 1762 |
| | mation h | | | Our Account No.: | |
| inte: | | | PLATED TERMINATIONS | _ Customer No.: | 22827 |
| Post O | issioner ffice Bo ndria, V | x 1450 | | | |
| Sir: | | | | | |
| | llowing .97, and | | formation Disclosure Statement for the ca | aptioned patent application, pur | suant to 37 CFR Sections |
| 1.[X] | Attach | ed heret | o is: | | |
| | a.[X] | A list | of materials for consideration per Rule 98 | (a)(1): <u>1</u> page(s) | |
| | b.[X] | Rule 9 | ble copy of each patent, publication, or ot 8(c) and/or (d) and as indicated on the attitem(s) | her item listed per Rule 98(1)(2 ached list(s): |), unless not required per |
| | c.[] | relevar | ch non-English language item listed, purs nee thereof as it is presently understood be edgeable about the content of such items: | y the individual designated in R | ule 56(c) most |
| | | [] Suc | h explanation is provided in the Search R with any enclosed translation into English | eport from a corresponding app | lication enclosed herewith |
| 2.[X] | This In | formation | on Disclosure Statement is being filed [Cl | HECK ONE]: | |
| | a.[] | after a | IN THREE MONTHS of the application request for continued examination, <u>OR</u> B which ever event occurs last, <u>WHEREF</u> (ired. | EFORE the mailing date of a fi | rst Office Action on the |
| | b.[X] | AFTER action ONE]: | R the time periods of section 2.a above, be that otherwise closes prosecution, WHER | ut BEFORE a Final Action, Not EFORE PER Rule 97(c) submi | tice of Allowance <u>OR</u> an tted herewith is [CHECK |
| | | i.[] ii[X] | Certification per Rule 97(e); <u>OR</u> Filing Fee per Rule 17(p) | | \$180.00 |
| | c.[] | AFTEI per Ru | R a Final Action <u>OR</u> Notice of Allowance le 97(d) submitted herewith is: | , but BEFORE payment of the i | ssue fee, WHEREFORE |
| | | i. ii. | Certification per Rule 97(e); <u>AND</u> Filing fee per Rule 17(p) | | \$180.00 |
| 3.[] | | | tification; per Rule 97(e), the undersigned ECK ONE]: | certifying party make the follow | wing certification |
| | a.[] | commu | ach item of information contained in this I unication from a foreign patent office in a s prior to the filing of this statement; <u>OR</u> | | |
| | b.[] | patent o | o item of information contained in this Information contained in this Information application able inquiry, was known to any individualing of this statement. | and to the knowledge of the un | dersigned after making a |
| | | being r Name: | | Signature: | |
| | | Addres | ss: | Date: | |

- 4.[X] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case. CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND 5.[X] COMPLETE ONE]:
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RICHARD M. MOOSE

Date: December 10, 2004

Sheet I of 1 5/92) Attorney Docket Number: Serial Number: formation Disclosure Statement List AVX-202-CIP 10/632,514 By Applicant(s) Applicant: Under 37 CFR Section 1.98(a) (1) ANDREW P. RITTER et al. (Use several sheets if necessary) Filing Date: Group Art Unit: August 1, 2003 2831 Confirmation No: 4340

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:

USSN <u>10/409,023</u> , filed <u>04/08/03</u> , o USSN <u>, filed ;</u>

Relied on under 35 U.S.C. Section 120, per Rule 98(d)

- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

| EXAMINER INITIALS | PATENTEE NAME | PA | TENT | 'NU | ISSUE DATE | COPY NOTE | | | | |
|----------------------|-----------------|----|------|-----|---------------|--------------|---|----|----------|----|
| | Hurley | 3 | 8 | 0 | 9 | 9 | 7 | 3 | 05/1974 | 5 |
| | Burks | 4 | 8 | 5 | 2 | 2 | 2 | 7 | 08/1989 | 5 |
| | Sano et al. | 5 | 0 | 2 | 1 | 9 | 2 | 1 | 06:1991 | 5 |
| | Amano et al. | 5 | 2 | 5 | 1 | 0 | 9 | 4 | 10,1993 | 5 |
| | Kim et al. | 5 | 3 | 1 | 1 | 6 | 5 | 1 | 05,1994 | 5 |
| | DuPre et al. | 5 | 8 | 8 | 0 | 9 | 2 | 5 | 03/1999 | 5 |
| | DuPre et al. | 6 | 2 | 4 | 3 | 2 | 5 | 3 | 06/2001 | 5 |
| | Naito et al. | 6 | 2 | 6 | 6 | 2 | 2 | 9 | 07/2001 | 5. |
| | Galvagni et al | 6 | 4 | 9 | 6 | 3 | 5 | 5 | 1,2/2002 | 5 |
| | Jackson et al. | 6 | 6 | 6 | 1 | 6 | 3 | 8 | 12/2003 | 5 |
| | Yokoyama et al. | 6 | 7 | 2 | 9 | 0 | 0 | 13 | 05/2004 | 5 |

| INITIALS | | | | BER | DATE | TRANSLATION 1 | | NOTE | |
|----------|--|------|------|-----|------|---------------|----|------|--|
| | | | | | | YES | NO | N/A | |

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

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